

## SNOHOMISH COUNTY COUNCIL Snohomish County, Washington

ORDINANCE NO. 09-024

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**RELATING TO THE CODE OF ETHICS:** REVISING COMPLAINT PROCEDURES FOR COMPLAINTS AGAINST COUNTY COUNCILMEMBERS, CANDIDATES FOR COUNTY COUNCIL POSITIONS, AND COUNCILMEMBERS-ELECT; REPEALING THE SUNSET PROVISION: AMENDING CHAPTERS 2.50 AND 2.76 SCC

WHEREAS, Chapter 2.50 SCC comprises Snohomish County's Code of Ethics;

WHEREAS, in 1991 the County Council repealed and re-enacted the Code of Ethics including SCC 2.50.700, the sunset provision, as required by Snohomish County Charter Section 2.115;

WHEREAS, in 1997 and 2003 the County Council extended the sunset provision by six years; and

WHEREAS, the sunset provision repeals the Code of Ethics on July 1, 2009; and

WHEREAS, the County Council desires to repeal the sunset provision rather than extend the sunset provision for another six years; and

WHEREAS, the Clerk of the Council has served as the Clerk of the Ethics Commission and the County Council has provided staff support for the Ethics Commission; and

WHEREAS, the processing of complaints against councilmembers, candidates for county council positions and councilmembers-elect by staff employed by the County Council may present the possibility of an appearance of conflict of interest; and

WHEREAS, the County Council desires to avoid even the appearance of a conflict of interest in the processing of ethics complaints; and

WHEREAS, the staff of the Board of Equalization could process complaints against councilmembers without the same appearance of conflict of interest as Council staff;

NOW, THEREFORE, BE IT ORDAINED:

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> Section 1. Snohomish County Code Section 2.50.090, reenacted by Amended Ordinance No. 03-067 on June 18, 2003, is amended to read:

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### 2.50.090 Membership -- Term of office.

- (1) The term of each member shall be three years, except that one of the two new members appointed to implement the expansion of the membership from three to five commissioners shall serve an initial term of two years, as determined by the drawing of lots. ((Staff-support for the commission shall be provided by the county as necessary.))
  - (2) No member of the commission, during his or her tenure, shall:
    - (a) hold or be a candidate for elective office;
    - (b) be an officer of any political party or political committee;
  - (c) make contributions to, or permit his or her name to be used in support of, or in opposition to, any candidate for county office;
    - (d) lobby or employ or assist a lobbyist;
  - (3) No person shall be eligible for appointment to more than two three-year terms, except as provided in subsection (4) below.
  - (4) An individual appointed to fill a vacancy occurring other than by the expiration of a term of office shall be appointed for the unexpired term of the member he succeeds, but shall be eligible for appointment to two full three-year terms thereafter only if one year or less remains in the term he is appointed to fill. Any vacancy occurring on the commission shall be filled within 60 days in the manner in which that position was originally filled. A vacancy shall not impair the powers of the remaining members to exercise all the powers of the commission.

Section 2. A new section 2.50,095 is added to Snohomish County Code chapter 2.50 to read:

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### 2.50.095 Commission staff.

Except for the performance of those duties assigned to the clerk of the board of equalization by SCC 2.50.110 and 2.50.115, the clerk of the county council shall serve as clerk of the commission. Staff support for the commission shall be provided by the county as necessary. In circumstances in which the clerk of the board of equalization serves as ex officio clerk of the commission, staff support for the commission shall be provided by staff of the board of equalization.

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Section 3. Snohomish County Code Section 2.50.110 reenacted by Amended Ordinance No. 03-067, on June 18, 2003, is amended to read:

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### 2.50.110 Complaint procedures.

- (1) Any natural person who believes a person subject to the code of ethics has committed a violation of the code may file a complaint with the ethics commission. Complaints shall be subject to the following requirements:
- (a) The complaint must be based upon facts within the personal knowledge of the complainant;
- (b) The complaint must be submitted in writing and signed under oath by the complainant;
- (c) The complaint must include a detailed factual description of the alleged violation including the date, time and place of each occurrence and the name of the person or persons who are alleged to have committed a violation. The complaint must also refer to the specific provisions of the code of ethics which are alleged to have been violated:
- (d) The complaint must be accompanied by all available documentation or other evidence known to the complainant to support the allegations of the complaint;
- (e) The complaint must be filed within five years of the date of the occurrence or occurrences alleged to constitute a violation of the code of ethics.
- (2) Complaints shall be filed with the clerk of the ethics commission. Except for complaints alleging a violation by a member of the county council, a candidate for a county council position, or a councilmember-elect, the clerk shall process the complaint as provided in the following subsections of this section. The clerk shall immediately forward complaints alleging a violation by a member of the county council, a candidate for a county council position or a councilmember-elect to the clerk of the board of equalization who shall serve as ex officio clerk of the ethics commission for the processing of such complaints as provided in the following subsections of this section.
- (3) The clerk ((who)) shall forward the complaint and any accompanying documentation and evidence forthwith to the chairperson of the ethics commission. The chairperson shall review the complaint for compliance with the requirements of subsection (1) of this section. Should the chairperson find that:
  - (a) The complaint is untimely; or
  - (b) The complaint has not been signed under oath; or
- (c) The complaint does not, on its face, state facts which, if proven to be true, constitute a violation of the code of ethics referred to in the complaint; or
- (d) The complaint fails to refer to a specific provision of the code of ethics which is alleged to have been violated, the chairperson shall, within five working days of the filing of the complaint, enter a written order stating the chairperson's findings and, except as hereinafter provided, dismissing the complaint. If the chairperson finds that the complaint is deficient pursuant to findings (b) or (d), the chairperson shall issue an order notifying the complainant that unless a corrected complaint is filed within 5 days of the issuance of such order, the complaint shall be dismissed. The complainant may appeal the dismissal of a complaint under this subsection by filing an action in the Snohomish County Superior Court for a Writ of Certiorari pursuant to Chapter 7.16 RCW within 10 days of the date of issuance of the order dismissing the complaint.
- (((3)))(4) For complaints which are not dismissed under subsection (((2)))(3), the chairperson shall direct the clerk to serve a complete copy of the complaint and any accompanying documentation and evidence to the person (hereinafter referred to as the respondent) alleged to have committed a violation of the code of ethics. The clerk shall

serve a copy of the complaint and accompanying information upon the respondent by certified mail or by personal service not later than seven working days following the filing of the complaint.

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(((4)))(5) The respondent shall, within twenty days of the date of mailing or personal service of the complaint by the clerk of the commission, file with the clerk any response to the complaint the respondent wishes to make. A response to a complaint shall be made in writing signed under oath by the respondent. A response may include a detailed statement of facts pertaining to the complaint made on personal knowledge of the respondent and may include any matter constituting a defense to the complaint. A response should be accompanied by all available documentation or other evidence known to the respondent which the respondent wishes the commission to consider. The respondent may stipulate to some or all of the facts alleged in the complaint and shall either admit or deny the alleged violation. If the violation is admitted, the respondent may also submit an explanatory statement and may request a particular disposition.

(((5)))(6) Upon receipt of a response to a complaint, the chairperson shall review the complaint and response, together with all supporting documentation and evidence submitted by the complainant and the respondent. Within ten days of receipt of the response (or, if no timely response is submitted, within thirty days of the date of mailing the complaint to the respondent by the clerk of the commission), the chairperson shall issue a preliminary decision in writing. A preliminary decision shall include one of the following determinations:

- (a) Determine that a hearing is necessary in order to obtain a clear determination of the facts relevant to the complaint; or
- (b) Determine that, based upon the complaint and response, the facts relevant to the complaint are clearly established and no hearing is necessary.

(((6)))(7) When a preliminary decision is issued pursuant to subsection (((5)))(6)(b), it shall contain findings and conclusions and a disposition of the complaint. If the chairperson concludes a violation of the code of ethics was committed, the preliminary decision shall include a penalty as provided for in section 2.50.160. Copies of the preliminary decisions shall be served forthwith on all members of the commission by the clerk. Until it becomes a final decision, a preliminary decision issued pursuant to subsection (((5)))(6)(b) shall be confidential and shall not be released to either the complainant, the respondent, or any other person with the exception of commission members and commission staff. Commission members may either concur in the preliminary decision or request a hearing. A hearing on the complaint before the full commission shall be scheduled by the clerk if a request for hearing is filed with the clerk by one or more commission members within ten days of the issuance of the preliminary decision, PROVIDED: that commission members shall have 15 days from the date of issuance of the preliminary decision to request a hearing if the chairperson so provides in the preliminary decision. If a commission member does not file a timely request for hearing, it shall be conclusively presumed that the commission member concurs in the preliminary decision.

(((7)))(8) If no timely request for hearing is made by any commission member, a preliminary decision issued pursuant to subsection (((5)))(6)(b) shall become a final decision of the commission and shall be signed and dated by the commission chairperson within two working days following the expiration of the review period

provided in subsection (((6)))(7) above. The clerk shall immediately notify the complainant and the respondent of the final decision and shall deliver a copy of the final decision to each of them and to any other person who has submitted a written request therefore. Either the complainant or the respondent may, within thirty days of the date of the final decision, appeal it to the Snohomish County Superior Court by writ of certiorari pursuant to Chapter 7.16 RCW.

(((8)))(9) When a decision to hold a hearing is issued pursuant to subsection (((5)))(6)(a) or when a request for hearing is filed by a commission member pursuant to subsection (((6)))(7), the clerk shall schedule a hearing not more than thirty days from the date of the preliminary decision and shall mail written notice of the hearing to the complainant, the respondent, each member of the commission and to any other person who has submitted a written request therefore. In addition, notice shall be provided by publication in the official county newspaper not less than five days prior to the date of the hearing.

 $((\frac{9}{}))(\underline{10})$  All hearings on complaints before the ethics commission shall be de novo and a preliminary decision issued pursuant to subsection  $((\frac{5}{}))(\underline{6})(b)$ , if any, shall have no force or effect and shall remain confidential. The parties may appear in person or through attorneys. Hearings shall be conducted in accordance with the following provisions:

- (a) The complainant shall have the burden to prove by a preponderance of evidence that the violation or violations alleged in the complaint occurred.
- (b) Not less than two days prior to the hearing date, the complainant and respondent shall each file with the clerk and serve upon the other party, a list of witnesses they wish to call at the hearing. Only those witnesses whose names appear on the witness lists may testify at the hearing. In exceptional circumstances and for good cause shown, the commission chairperson may, in his or her discretion, permit additional witnesses to testify.
- (c) At the commencement of the hearing, the commission chairperson shall ask the parties to provide an estimate of the time required to present their evidence and arguments. The chairperson shall then issue an order establishing a reasonable limit on the time for each party to present his or her case which shall be equal for each party. The complainant may divide his or her allotted time between an opening presentation and rebuttal of the respondent's case. Each party may present opening and closing arguments.
- (d) All testimony shall be given under oath administered by the clerk of the commission. Subject to control by the chairperson, each party shall be permitted to cross-examine the witnesses of the other party.
- (e) The clerk shall electronically record all proceedings and shall assign exhibit numbers to, and become the custodian of, all documentary evidence.
- (f) The chairperson shall have full authority to regulate the conduct of the hearing and may take any actions reasonably necessary to maintain an orderly proceeding. The chairperson may continue a hearing to a date and time certain should the chairperson determine that such continuance is necessary.
- (((10)))(11) At the conclusion of a hearing on a complaint, the commission shall deliberate and enter its oral decision which shall include findings and conclusions in support of the decision. The chairperson shall direct commission staff to prepare a draft

written decision reflecting the commission's oral decision and shall continue the hearing to a date and time certain for commission consideration and approval of the written decision. The final written decision shall be signed and dated by the commission chairperson. The clerk shall deliver a copy of the final written decision to each party and to any other person who has submitted a written request therefore.

(((11)))(12) A final written decision may, within thirty days of the date of the written decision, be appealed by either the complainant or the respondent to the Snohomish County Superior Court by writ of certiorari pursuant to Chapter 7.16 RCW.

Section 4. Snohomish County Code Section 2.50.115 reenacted by Amended Ordinance No. 03-067, on June 18, 2003, is amended to read:

# 2.50.115 Complaints filed close to elections -- Limitations on filing -- Expedited proceedings.

- (1) From the date four weeks prior to any election through and including the date of the election, only those complaints may be filed which allege a violation of the code of ethics which occurred not more than one week prior to the date of filing the complaint. Except for complaints alleging a violation by a member of the county council, a candidate for a county council position or a councilmember-elect, the clerk shall process the complaint as provided in the following subsections of this section. The clerk shall immediately forward complaints alleging a violation by a member of the county council, a candidate for a county council position or a councilmember-elect to the clerk of the board of equalization who shall serve as ex officio clerk of the ethics commission for the processing of such complaints as provided in the following subsections of this section.
- (2) Immediately upon receipt of such a complaint, the clerk shall notify the chairperson and the respondent named in the complaint and shall deliver a copy of the complaint and its supporting documentation and evidence to them. Copies shall also be delivered forthwith to the remaining commission members. The clerk's notice to the respondent shall also state that the respondent may file a written response to the complaint within 5 days of the date the complaint was filed with the commission.
- $((\frac{(2)}{3}))$  Immediately upon receipt of the complaint, the chairperson shall review the complaint pursuant to subsection 2.50.110 $((\frac{(2)}{3}))$  and, if the complaint is defective, shall, within two days of the filing of the complaint, enter an order stating the chair-person's findings and dismissing the complaint.
- $((\frac{3}{2}))(\frac{4}{2})$  For those complaints which are not dismissed under subsection  $((\frac{2}{2}))(\frac{3}{2})$  above, the clerk shall confer with the commission chairperson and shall set a date and time for an expedited hearing on the complaint to be held not less than five nor more than ten days from the date of filing the complaint.
- (((4)))(5) The clerk shall deliver to the complainant, the respondent and each commission member a notice of expedited hearing on the complaint. Notice of the expedited hearing shall also be delivered to any person who has, at or prior to the time the complaint is filed, submitted a written request therefore. Notice of the hearing shall be published once in the official county newspaper prior to the hearing.
- $((\frac{5}))$  The expedited hearing shall be conducted in accordance with subsections  $(\frac{2.50.110(9)}{10)}$ ,  $(\frac{10}{10})$  and  $(\frac{11}{10})$ )  $\frac{2.50.110(10)}{11}$ , and  $(\frac{12}{10})$  except that the parties shall not be required to file witness lists prior to the hearing.

Section 5. Snohomish County Code Section 2.50.700, last amended by Amended Ordinance 03-067 on June 18, 2003 is hereby repealed. Section 6. Snohomish County Code Section 2.76.050, last amended by 5 6 Ordinance 82-079 on August 18, 1982 is amended to read. 2.76.050 Assistants. The board of equalization may appoint a clerk and such assistants, legal advisors and appraisers as are necessary to perform its functions as authorized by chapter 84.48 RCW and chapter 458-14 WAC and as provided in such budget as is approved by the county council. The appointment of clerk and assistants shall be subject to the approval of the county council. In addition to performing the duties of clerk of the board of equalization, the clerk shall also perform the duties of ex officio clerk of the ethics commission in accordance with SCC 2.50.110 and 2.50.115. PASSED this 22 day of pril, 2009. SNOHOMISH COUNTY COUNCIL Snohomish County, Washington ATTEST: Clerk of the Council*, ASS* **APPROVED EMERGENCY VETOED** MARK SOINE **Deputy Executive** Approved as to form only: 

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ORDINANCE NO. 09-024
RELATING TO THE CODE OF ETHICS, ETC. -7

Deputy Prosecuting Attorney